FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE ATTORNEY DOCKET NO. PATENT AND TRADEMARK OFFICE (REV 2-2005) 12007-0064 OMB-0651-0021 DATE: September 19, 2006 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLN. NO. **CONCERNING A FILING UNDER 35 U.S.C. 371** (IF KNOWN, SEE 37 C.F.R. 1.5) 10/566,432 INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE PCT/DE2004/001704 **July 31, 2003** July 30, 2004 TITLE OF INVENTION: METHOD FOR THE PRODUCTION OF CYCLIC MOLECULES (AS AMENDED) APPLICANT(S) FOR DO/EO/US: Mohamed MARAHIEL and Stephan SIEBER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. (THE BASIC FILING FEE IS ATTACHED) This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4.
The US has been elected (Article 31). 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)] a.
is transmitted herewith (required only if not transmitted by the International Bureau). b. has been transmitted by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed [35 U.S.C. 371(c)(2)]. a. \square is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)] a.

are transmitted herewith (required only if not transmitted by the International Bureau). b.

have been transmitted by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]. An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 10. [35 U.S.C. 371(c)(5)]. Items 11 - 20 below concern other document(s) or information included: An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 12. A FIRST preliminary amendment. 13. A SECOND or SUBSEQUENT preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 15. A power of attorney and/or change of address letter. 16. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 17. A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. Other items or information: COPY OF NOTIFICATION OF MISSING REQUIREMENTS; PETITION FOR EXTENSION OF TIME \boxtimes 20. W/ PAYMENT

	U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.R. 1.50) 10/566,432			INIE	PCT/DE2004/001704			DATE: September 19, 2006		
	☐ The following fees are submitted:							CALCULATIONS	PTO USE ONLY	
	21.) Basic National Fee\$300							\$0	110 002 01121	
		inations tions thority	e - If Search Application	Internation and all claim the Fee (37 on to the Use orepared a	\$ O \$ O					
	TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing on computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE							\$0 \$0		
	<u>.</u>			thereof (ro	und up to a whole number			\$0		
	-100	/50		0	!!: !-! than 20		x \$250	ΦU		
	Surcharge of \$130 the earliest claimed				eclaration later than 30 2(h)].) mont	hs trom	\$ 130		
	Claims		Number Fil	ed	Number Extra	Number Extra R				
	Total Claims		- 20 =		0	X \$50.00		\$0		
	Independent Claim	- 3 =	0		X \$200.00		\$ 0			
	Multiple dependent claim(s) (if applicable))		360.00	\$0		
	TOTAL OF ABOVE CALCULATIONS =							\$0		
	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½							\$ 65		
	SUBTOTAL =							\$ 65		
	Processing fee of \$130.00 for furnishing the English translation later the 30 months from the earliest claimed priority date [37 C.F.R. 1.492(f)].							\$0	·	
	TOTAL NATIONAL FEE =							\$0		
	Fee for recording the enclosed assignment [37 C.F.R. 1.21(h)]. The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property							\$0		
09	55\500€ HKDADUGH O	000014	40 10566432		TOTAL FEES	ENC	OSED =	\$ 65		
				.00 OP				Amount to be refunded	\$	
AT	FC::2617 a. ⊠ A check i	in the			er the above fees is en	close	<u>.</u>	Charged	\$	
	 b. Please charge Counsel's Deposit Account No. 50-1088 in the amount of \$ to cover the above fee. A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to 									
	Counsel's Deposit Account No. 50-1088. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
	NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive [37 C.F.R. 1.137(a) or (b)] must be filed and granted to restore the application to pending status.									
	SEND ALL CORRESPONDENCE TO:							410		
	CLARK & BRODY 1090 Vermont Avenue, N.W. Suite 250 Washington, D.C. 20005 Telephone: 202-835-1111 Fax: 202-835-1755 Customer Number: 22902					4	Registrat	copher W. Brody tration No. 33,613 September 19, 2006		



United States Patent and Trademark Office

Page 1 of 2 12007-0064 DOCKETED Missing Farts Due: aug 20, 2006 Final: Jan 20, 2007

PRIORITY DATE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/566,432 Mohamed A. Marahiel 12007-0064

INTERNATIONAL APPLICATION NO.

PCT/DE04/01704

22902 CLARK & BRODY 1090 VERMONT AVENUE, NW SUITE 250 WASHINGTON, DC 20005

07/30/2004 07/31/2003

CONFIRMATION NO. 5768
371 FORMALITIES LETTER

OC000000019332284

I.A. FILING DATE

Date Mailed: 06/20/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 01/31/2006
- English Translation of the IA filed on 01/31/2006
- Copy of the International Search Report filed on 01/31/2006
- Preliminary Amendments filed on 01/31/2006
- Oath or Declaration filed on 01/31/2006
- U.S. Basic National Fees filed on 01/31/2006
- Priority Documents filed on 01/31/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/566,432	PCT/DE04/01704	12007-0064	

FORM PCT/DO/EO/905 (371 Formalities Notice)